

ON LINEAR STRUCTURE AND  
PHASE ROTATION INVARIANT PROPERTIES OF  
BLOCK 2<sup>1</sup> -PSK MODULATION CODES

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# ON LINEAR STRUCTURE AND PHASE ROTATION INVARIANT PROPERTIES OF BLOCK $2^\ell$ -PSK MODULATION CODES\*

## ABSTRACT

In this correspondence, we investigate two important structural properties of block  $2^\ell$ -ary PSK modulation codes, namely: linear structure and phase symmetry. For an AWGN channel, the error performance of a modulation code depends on its squared Euclidean distance distribution. Linear structure of a code makes the error performance analysis much easier. Phase symmetry of a code is important in resolving carrier-phase ambiguity and ensuring rapid carrier-phase resynchronization after temporary loss of synchronization. It is desirable for a modulation code to have as many phase symmetries as possible. In this paper, we first represent a  $2^\ell$ -ary modulation code as a code with symbols from the integer group,  $S_{2^\ell\text{-PSK}} = \{0, 1, 2, \dots, 2^\ell - 1\}$ , under the modulo- $2^\ell$  addition. Then we define the linear structure of block  $2^\ell$ -ary PSK modulation codes over  $S_{2^\ell\text{-PSK}}$  with respect to the modulo- $2^\ell$  vector addition, and derive conditions under which a block  $2^\ell$ -ary PSK modulation code is linear. Once the linear structure is developed, we study phase symmetry of a block  $2^\ell$ -ary PSK modulation code. In particular, we derive a necessary and sufficient condition for a block  $2^\ell$ -ary PSK modulation code, which is linear as a binary code, to be invariant under  $180^\circ/2^{h-\ell}$  phase rotation, for  $1 \leq h \leq \ell$ . Finally, a list of short 8-PSK and 16-PSK modulation codes is given together with their linear structure and the smallest phase rotation for which a code is invariant.

# ON LINEAR STRUCTURE AND PHASE ROTATION INVARIANT PROPERTIES OF BLOCK $2^\ell$ -PSK MODULATION CODES

## 1. Introduction

As the application of coded modulation in bandwidth-efficient communications grows, there is a need of better understanding of the structural properties of modulation codes, especially those properties which are useful in: error performance analysis, implementation of optimum (or suboptimum) decoders, efficient resolution of carrier-phase ambiguity, and construction of better codes. In this paper, we investigate two important structural properties of block  $2^\ell$ -ary PSK modulation codes, namely: linear structure and phase symmetry. For an AWGN channel, the error performance of a modulation code depends on its squared Euclidean distance distribution [1-4]. Linear structure of a code makes the error performance analysis much easier [2, 4]. Furthermore, it may lead to a simpler implementation of encoder and decoder. Phase symmetry of a code is important in resolving carrier-phase ambiguity and ensuring rapid carrier-phase resynchronization after temporary loss of synchronization [1, 5-8]. It is desirable for a modulation code to have as many phase symmetries as possible.

Suppose the integer group  $\{0, 1, 2, \dots, 2^\ell - 1\}$  under the modulo- $2^\ell$  addition, denoted  $S_{2^\ell\text{-PSK}}$ , is chosen to represent a two-dimensional  $2^\ell$ -PSK signal set. Then a block  $2^\ell$ -ary PSK modulation code  $C$  of length  $n$  may be regarded as a block code of length  $n$  over the integer group  $S_{2^\ell\text{-PSK}}$ , and a codeword in  $C$  is simply an  $n$ -tuple over  $S_{2^\ell\text{-PSK}}$ . If each integer in  $S_{2^\ell\text{-PSK}}$  is represented by its binary expression of  $\ell$  bits, then a block code of length  $n$  over  $S_{2^\ell\text{-PSK}}$  can be considered as a binary block code of length  $\ell n$ . The resultant binary code is linear if it is closed under the component-wise modulo-2 addition. Most of the known block  $2^\ell$ -ary PSK modulation codes are linear as binary codes. A linear code in this sense is not necessarily closed under the component-wise modulo- $2^\ell$  addition. For two integers  $s$  and  $s'$  in  $S_{2^\ell\text{-PSK}}$ , the squared Euclidean distance between two signal points represented by  $s$  and  $s'$  respectively depends only on  $s - s'$  (modulo  $2^\ell$ ), but is not always determined by the Hamming distance between the binary expressions of  $s$  and  $s'$ . For an additive white Gaussian noise (AWGN) channel, error performance of a modulation code is determined by its squared

Euclidean distance distribution. If a code  $C$  over  $S_{2^\ell, \text{PSK}}$  is either closed under the component-wise modulo- $2^\ell$  addition or a union of relatively small number of cosets of a subcode which is closed under the component-wise modulo- $2^\ell$  addition, then the error performance analysis of  $C$  is much easier than a code without such a property [2, 4]. In this paper, we present a condition for a code over  $S_{2^\ell, \text{PSK}}$ , which is linear as a binary code, to be closed under the component-wise modulo- $2^\ell$  addition. In particular, we present a necessary and sufficient condition for a basic multilevel block code over  $S_{2^\ell, \text{PSK}}$ , which is linear as a binary code, to be closed under the component-wise modulo- $2^\ell$  addition.

An important issue in coded modulation is the resolution of carrier-phase ambiguity. Several methods have been proposed to resolve the carrier-phase ambiguity for coded PSK modulations [6, 8, 9]. In these methods, the phase-rotation invariant property of a code over  $S_{2^\ell, \text{PSK}}$  plays the central role. Tanner [8] has proposed a simple phase ambiguity resolution method for  $2^\ell$ -ary PSK modulation codes which are invariant under  $360^\circ/2^\ell$  phase shift. In this paper, we present a necessary and sufficient condition for a code over  $S_{2^\ell, \text{PSK}}$ , which is linear as a binary code, to be invariant under  $180^\circ/2^{\ell-h}$  phase shift with  $1 \leq h \leq \ell$ .

Finally, we give a list of short block 8-PSK and 16-PSK modulation codes together with their closure (or linear) properties under the component-wise modulo- $2^\ell$  addition, the smallest phase shifts for which these codes are invariant, and other parameters.

## 2. Linear Block $2^\ell$ -PSK Modulation Codes

Let  $\ell$  be a positive integer. Suppose the integer group  $\{0, 1, 2, \dots, 2^\ell - 1\}$  under the modulo- $2^\ell$  addition, denoted  $S_{2^\ell, \text{PSK}}$ , is used to represent a two-dimensional  $2^\ell$ -PSK signal set. We define the distance between two integers  $s$  and  $s'$  in  $S_{2^\ell, \text{PSK}}$ , denoted  $d(s, s')$ , as the squared Euclidean distance between the two  $2^\ell$ -PSK signal points represented by  $s$  and  $s'$  respectively. Then  $d(s, s')$  is given below:

$$d(s, s') = 4 \sin^2 \left( 2^{-\ell} \pi (s - s') \right). \quad (2.1)$$

Let  $d_i$  denote  $d(2^{i-1}, 0)$ . From (2.1), we see that

$$d_i = 4 \sin^2(2^{i-\ell-1} \pi).$$

For a positive integer  $n$ , let  $S_{2^{\ell}\text{-PSK}}^n$  denote the set of all  $n$ -tuples over  $S_{2^{\ell}\text{-PSK}}$ . Define the distance between two  $n$ -tuples  $\bar{\mathbf{v}} = (v_1, v_2, \dots, v_n)$  and  $\bar{\mathbf{v}}' = (v'_1, v'_2, \dots, v'_n)$  over  $S_{2^{\ell}\text{-PSK}}$ , denoted  $d(\bar{\mathbf{v}}, \bar{\mathbf{v}}')$ , as follows:

$$d(\bar{\mathbf{v}}, \bar{\mathbf{v}}') \triangleq \sum_{j=1}^n d(v_j, v'_j) \quad (2.2)$$

Then it follows from (2.1) and (2.2) that

$$d(\bar{\mathbf{v}}, \bar{\mathbf{v}}') = d(\bar{\mathbf{v}} - \bar{\mathbf{v}}', \bar{\mathbf{0}}) \quad (2.3)$$

where “ $-$ ” denotes the component-wise modulo- $2^{\ell}$  subtraction and  $\bar{\mathbf{0}}$  denotes the all-zero  $n$ -tuple over  $S_{2^{\ell}\text{-PSK}}$ . For an  $n$ -tuple  $\bar{\mathbf{v}}$  over  $S_{2^{\ell}\text{-PSK}}$ , define  $|\bar{\mathbf{v}}|_d$  as follows:

$$|\bar{\mathbf{v}}|_d \triangleq d(\bar{\mathbf{v}}, \bar{\mathbf{0}}). \quad (2.4)$$

We may regard that  $|\bar{\mathbf{v}}|_d$  is the squared Euclidean weight of  $\bar{\mathbf{v}}$ .

Consider a block code  $C$  of length  $n$  over  $S_{2^{\ell}\text{-PSK}}$ . The minimum distance of  $C$ , denoted  $D[C]$ , with respect to the distance measure  $d(\cdot, \cdot)$  given by (2.2) is defined as follows:

$$D[C] \triangleq \min \{d(\bar{\mathbf{v}}, \bar{\mathbf{v}}') : \bar{\mathbf{v}}, \bar{\mathbf{v}}' \in C \text{ and } \bar{\mathbf{v}} \neq \bar{\mathbf{v}}'\}. \quad (2.5)$$

If each component of a codeword  $\bar{\mathbf{v}}$  in  $C$  is mapped into the corresponding signal point in the two-dimensional  $2^{\ell}$ -PSK signal set, we obtain a block  $2^{\ell}$ -PSK modulation code with minimum squared Euclidean distance  $D[C]$ . The effective rate of this code is given by

$$R[C] = \frac{1}{2n} \log_2 |C|, \quad (2.6)$$

which is simply the average number of information bits transmitted per dimension.

Let  $\bar{\mathbf{u}} = (u_1, u_2, \dots, u_n)$  and  $\bar{\mathbf{v}} = (v_1, v_2, \dots, v_n)$  be two  $n$ -tuples over  $S_{2^{\ell}\text{-PSK}}$ . Let  $\bar{\mathbf{u}} + \bar{\mathbf{v}}$  denote the following  $n$ -tuple over  $S_{2^{\ell}\text{-PSK}}$ :

$$\bar{\mathbf{u}} + \bar{\mathbf{v}} \triangleq (u_1 + v_1, u_2 + v_2, \dots, u_n + v_n),$$

where  $u_i + v_i$  is carried out in modulo- $2^{\ell}$  addition. A code over the integer group  $S_{2^{\ell}\text{-PSK}}$  is said to be linear with respect to (w.r.t.) “ $+$ ”, if  $C$  is closed under the component-wise modulo- $2^{\ell}$  addition, i.e., for any  $\bar{\mathbf{u}}$  and  $\bar{\mathbf{v}}$  in  $C$ ,  $\bar{\mathbf{u}} + \bar{\mathbf{v}}$  is also in  $C$ . It follows from (2.3) to (2.5) that, for a linear code  $C$  w.r.t.  $+$ , we have

$$D[C] = \min \{|\bar{\mathbf{v}}|_d : \bar{\mathbf{v}} \in C - \{\bar{\mathbf{0}}\}\}. \quad (2.7)$$

As a result, for a linear code  $C$  over  $S_{2^t, \text{PSK}}$  w.r.t.  $+$ , the error performance analysis of  $C$  based on the distance measure  $d(\cdot, \cdot)$  is reduced to that of  $C$  in terms of the weight measure  $|\cdot|_d$ . This simplifies the error performance analysis and computation of code  $C$  [2, 4].

Let  $(b_1, b_2, \dots, b_\ell)$  be the binary representation of an integer  $s$  in  $S_{2^t, \text{PSK}}$ , where  $b_1$  and  $b_\ell$  be the least and most significant bits respectively. Then  $s = \sum_{i=1}^{\ell} b_i 2^{i-1}$ . Let  $\bar{\mathbf{v}} = (v_1, v_2, \dots, v_n)$  be an  $n$ -tuple over  $S_{2^t, \text{PSK}}$  with  $v_j = \sum_{i=1}^{\ell} v_{ij} 2^{i-1}$  and  $v_{ij} \in \{0, 1\}$  for  $1 \leq i \leq \ell$  and  $1 \leq j \leq n$ . Then  $\bar{\mathbf{v}}$  can be expressed as the following sum:

$$\bar{\mathbf{v}} = \bar{\mathbf{v}}^{(1)} + 2\bar{\mathbf{v}}^{(2)} + \dots + 2^{\ell-1}\bar{\mathbf{v}}^{(\ell)}, \quad (2.8)$$

where  $\bar{\mathbf{v}}^{(i)} = (v_{1i}, v_{2i}, \dots, v_{ni})$  is a binary  $n$ -tuple, for  $1 \leq i \leq \ell$ . We call  $\bar{\mathbf{v}}^{(i)}$  the  $i$ -th binary component  $n$ -tuple of  $\bar{\mathbf{v}}$ . The sum of (2.8) may be regarded as the binary expansion of the  $n$ -tuple  $\bar{\mathbf{v}}$ . For  $1 \leq i \leq \ell$ , let  $C_i$  be a binary  $(n, k_i)$  code with minimum Hamming distance  $\delta_i$ . Define the following block code  $C$  over  $S_{2^t, \text{PSK}}$ ,

$$\begin{aligned} C &\triangleq C_1 + 2C_2 + \dots + 2^{\ell-1}C_\ell \\ &\triangleq \{ \bar{\mathbf{v}}^{(1)} + 2\bar{\mathbf{v}}^{(2)} + \dots + 2^{\ell-1}\bar{\mathbf{v}}^{(\ell)} : \bar{\mathbf{v}}^{(i)} \in C_i \text{ for } 1 \leq i \leq \ell \}. \end{aligned} \quad (2.9)$$

The code  $C$  defined by (2.9) is called a basic multi-level code. Basic multilevel codes were first introduced by Imai and Hirakawa [10] and then studied by other [3, 11, 12]. For  $1 \leq i \leq \ell$ ,  $C_i$  is called the  $i$ -th binary component code of  $C$ . The minimum distance of  $C$  is

$$D[C] = \min_{1 \leq i \leq \ell} \delta_i d_i, \quad (2.10)$$

where  $d_i = d(2^{i-1}, 0)$ . If every component of a codeword in  $C$  is mapped into a signal point in a two-dimensional  $2^t$ -PSK signal constellation, then  $C$  is a basic multi-level  $2^t$ -PSK modulation code with a minimum squared Euclidean distance,

$$D[C] = \min_{1 \leq i \leq \ell} \{4\delta_i \sin^2(2^{i-\ell-1}\pi)\}.$$

For  $n$ -tuples  $\bar{\mathbf{u}}$  and  $\bar{\mathbf{v}}$  over  $S_{2^t, \text{PSK}}$ , let  $\bar{\mathbf{u}} \oplus \bar{\mathbf{v}}$  denote the  $n$ -tuple over  $S_{2^t, \text{PSK}}$ , such that the  $i$ -th binary component  $n$ -tuple of  $\bar{\mathbf{u}} \oplus \bar{\mathbf{v}}$  is the modulo-2 vector sum of the  $i$ -th binary component  $n$ -tuple of  $\bar{\mathbf{u}}$  and the  $i$ -th binary component  $n$ -tuple of  $\bar{\mathbf{v}}$ . A code  $C$  over  $S_{2^t, \text{PSK}}$  is said to be linear w.r.t.  $\oplus$ , if  $C$  is closed under addition  $\oplus$ . Most of the known block codes for

$2^{\ell}$ -PSK modulation are linear w.r.t.  $\oplus$ . A linear code w.r.t.  $\oplus$  is not necessarily linear w.r.t.  $+$ . In the following, we will derive a condition for a linear code w.r.t.  $\oplus$  to be linear w.r.t.  $+$ .

Let  $\bar{u}$  and  $\bar{v}$  be two  $n$ -tuples over  $S_{2^{\ell}, \text{PSK}}$ , and let  $\bar{w}$  denote  $\bar{u} + \bar{v}$ . For  $1 \leq i \leq \ell$ , let the  $i$ -th binary component  $n$ -tuples of  $\bar{u}$ ,  $\bar{v}$  and  $\bar{w}$  be represented as  $\bar{u}^{(i)} = (u_{1i}, u_{2i}, \dots, u_{ni})$ ,  $\bar{v}^{(i)} = (v_{1i}, v_{2i}, \dots, v_{ni})$ , and  $\bar{w}^{(i)} = (w_{1i}, w_{2i}, \dots, w_{ni})$ , respectively. Then the following recursive equations hold [13]:

$$w_{ji} = u_{ji} \oplus v_{ji} \oplus x_{ji}, \quad \text{for } 1 \leq i \leq \ell, \quad (2.11)$$

$$x_{ji} = u_{ji-1}v_{ji-1} \oplus (u_{ji-1} \oplus v_{ji-1})x_{ji-1}, \quad \text{for } 1 < i \leq \ell, \quad (2.12)$$

$$x_{j1} = 0. \quad (2.13)$$

For  $1 \leq i \leq \ell$ , let  $c^{(i)}(\bar{u}, \bar{v})$  be defined as

$$c^{(i)}(\bar{u}, \bar{v}) \triangleq (x_{1i}, x_{2i}, \dots, x_{ni}). \quad (2.14)$$

For two binary  $n$ -tuples,  $\bar{a} = (a_1, a_2, \dots, a_n)$  and  $\bar{b} = (b_1, b_2, \dots, b_n)$ , let  $\bar{a} \cdot \bar{b}$  be defined as

$$\bar{a} \cdot \bar{b} \triangleq (a_1 \cdot b_1, a_2 \cdot b_2, \dots, a_n \cdot b_n),$$

where  $a_j \cdot b_j$  denotes the logical product of  $a_j$  and  $b_j$ .

It follows from (2.11) to (2.14) that for  $1 \leq i < \ell$ ,

$$c^{(i+1)}(\bar{u}, \bar{v}) = \bar{u}^{(i)} \cdot \bar{v}^{(i)} \oplus (\bar{u}^{(i)} \oplus \bar{v}^{(i)}) \cdot c^{(i)}(\bar{u}, \bar{v}). \quad (2.15)$$

Let  $c(\bar{u}, \bar{v})$  be defined as

$$c(\bar{u}, \bar{v}) \triangleq c^{(1)}(\bar{u}, \bar{v}) + 2c^{(2)}(\bar{u}, \bar{v}) + \dots + 2^{\ell-1}c^{(\ell)}(\bar{u}, \bar{v}). \quad (2.16)$$

Then,

$$\bar{u} + \bar{v} = \bar{u} \oplus \bar{v} \oplus c(\bar{u}, \bar{v}). \quad (2.17)$$

Now consider a block code  $C$  over  $S_{2^{\ell}, \text{PSK}}$  which is linear w.r.t.  $\oplus$ . Let  $\bar{u}$  and  $\bar{v}$  be two codewords in  $C$ . Then it follows from (2.17) that  $\bar{u} + \bar{v} \in C$  if and only if

$$c(\bar{u}, \bar{v}) \in C. \quad (2.18)$$

For  $1 \leq i \leq \ell$ , let  $C^{(i)}$  and  $C_i$  be defined as

$$C^{(i)} \triangleq \{\bar{v}^{(i)} : \bar{v}^{(1)} + \dots + 2^{i-1}\bar{v}^{(i)} + \dots + 2^{\ell-1}\bar{v}^{(\ell)} \in C\}, \quad (2.19)$$

$$C_i \triangleq \{\bar{v}^{(i)} : 2^{i-1}\bar{v}^{(i)} \in C\}. \quad (2.20)$$

By definition

$$C_i \subseteq C^{(i)}. \quad (2.21)$$

Since  $C$  is linear w.r.t.  $\oplus$ ,  $C^{(i)}$  and  $C_i$  are also linear w.r.t.  $\oplus$  and

$$C_1 + 2C_2 + \dots + 2^{\ell-1}C_\ell \subseteq C, \quad (2.22)$$

where the equality holds if  $C$  is a basic multilevel code. For binary codes  $C$  and  $C'$  of the same length, let  $C \cdot C'$  be defined as

$$C \cdot C' \triangleq \{\bar{u} \cdot \bar{v} : \bar{u} \in C \text{ and } \bar{v} \in C'\}.$$

Now we present two lemmas regarding to the closure property of a  $2^\ell$ -PSK code.

**Lemma 1:** Suppose that  $C$  is a linear code over  $S_{2^\ell, \text{PSK}}$  w.r.t.  $\oplus$  and for  $1 \leq i \leq \ell$ ,

$$C^{(i)} \cdot C^{(i)} \subseteq C_{i+1}. \quad (2.23)$$

Then  $C$  is closed under the component-wise modulo- $2^\ell$  addition, and hence is linear w.r.t.  $+$ .

**Proof:** By induction, we show that for  $1 \leq i \leq \ell$

$$c^{(i)}(\bar{u}, \bar{v}) \in C_i. \quad (2.24)$$

Since  $c^{(1)}(\bar{u}, \bar{v}) = \bar{0}$ ,  $c^{(1)}(\bar{u}, \bar{v}) \in C_1$ . Suppose that  $c^{(j)}(\bar{u}, \bar{v}) \in C_j$  for  $1 \leq j \leq i < \ell$ . Since  $C^{(i)}$  and  $C_{i+1}$  are linear w.r.t.  $\oplus$ , it follows from (2.15), (2.21) and (2.23) that  $c^{(i+1)}(\bar{u}, \bar{v}) \in C_{i+1}$ . Consequently (2.18) follows from (2.16), (2.22) and (2.24), and this lemma holds.  $\triangle\triangle$

**Lemma 2:** Suppose that  $C$  is a linear basic multilevel code over  $S_{2^\ell, \text{PSK}}$  w.r.t.  $\oplus$ . Then  $C(= C_1 + 2C_2 + \dots + 2^{\ell-1}C_\ell)$  is closed under the component-wise modulo- $2^\ell$  addition, if and only if

$$C_i \cdot C_i \subseteq C_{i+1}, \quad \text{for } 1 \leq i < \ell. \quad (2.25)$$

**Proof: Only if part:** Let  $\bar{u}$  (or  $\bar{v}$ ) denote the  $n$ -tuple over  $S_{2^\ell, \text{PSK}}$  whose  $i$ -th binary component  $n$ -tuple is  $\bar{u}^{(i)} \in C_i$  (or  $\bar{v}^{(i)} \in C_i$ ) and whose other binary component  $n$ -tuples are the all-zero  $n$ -tuple  $\bar{0}$ . Assume that  $\bar{u} + \bar{v} \in C$ . It follows from (2.11) to (2.13) that for these specific  $\bar{u}$  and  $\bar{v}$ ,

$$x_{ji+1} = u_{ji}v_{ji}, \quad \text{for } 1 \leq i \leq \ell. \quad (2.26)$$



From (2.14),(2.18) and (2.26), we see that

$$c^{(i+1)}(\bar{u}, \bar{v}) = \bar{u}^{(i)} \cdot \bar{v}^{(i)} \in C_{i+1}.$$

That is,  $C_i \cdot C_i \subseteq C_{i+1}$ .

**If part:** Since  $C$  is a basic multilevel code,  $C_i = C^{(i)}$  for  $1 \leq i \leq \ell$ . Then if part follows from Lemma 1.  $\Delta\Delta$

### 3. A Necessary and Sufficient Condition for a $2^\ell$ -PSK Modulation Code to be Invariant Under $180^\circ/2^{\ell-h}$ Phase Shift with $1 \leq h \leq \ell$

Now we consider the phase symmetry of a block  $2^\ell$ -ary PSK modulation code. To determine the phase symmetry of a code, we need to know the smallest rotation under which the code is invariant.

For  $1 \leq h \leq \ell$ , let  $2^{h-1}\bar{1}$  denote the  $n$ -tuple over  $S_{2^\ell, \text{PSK}}$  whose  $h$ -th binary component  $n$ -tuple is the all-one  $n$ -tuple and whose other binary component  $n$ -tuples are the all-zero  $n$ -tuple. A code  $C$  of length  $n$  over  $S_{2^\ell, \text{PSK}}$  is said to be invariant under  $180^\circ/2^{\ell-h}$  phase shift if for any codeword  $\bar{v}$  in  $C$ ,

$$\bar{v} + 2^{h-1}\bar{1} \in C. \quad (3.1)$$

By letting  $\bar{u} = 2^{h-1}\bar{1}$  in (2.11) to (2.16), we obtain the following equations:

(1)

$$w_{ji} = v_{ji} \oplus x_{ji}, \quad \text{for } 1 \leq i \leq \ell. \quad (3.2)$$

(2) If  $h < \ell$ , then

$$x_{ji} = v_{ji-1}x_{ji-1}, \quad \text{for } h < i \leq \ell. \quad (3.3)$$

(3)

$$x_{jh} = 1. \quad (3.4)$$

(4) If  $1 < h$ , then

$$x_{ji} = 0, \quad \text{for } 1 \leq i < h. \quad (3.5)$$

It follows from (3.2) to (3.5) that we have Lemma 3.

**Lemma 3:** For  $1 \leq h \leq \ell$ , a linear code  $C$  over  $S_{2^\ell\text{-PSK}}$  w.r.t.  $\oplus$  is invariant under  $180^\circ/2^{\ell-h}$  phase shift if and only if for any codeword  $\bar{v}^{(1)} + 2\bar{v}^{(2)} + \dots + 2^{\ell-1}\bar{v}^{(\ell)}$  in  $C$ ,

$$2^{h-1}\bar{1} + 2^h\bar{v}^{(h)} + 2^{h+1}(\bar{v}^{(h)} \cdot \bar{v}^{(h+1)}) + \dots + 2^{\ell-1}(\bar{v}^{(h)} \cdot \bar{v}^{(h+1)} \cdot \dots \cdot \bar{v}^{(\ell-1)}) \in C, \quad (3.6)$$

where  $\bar{1}$  denotes the all-one  $n$ -tuple.

$\Delta\Delta$

If  $C$  is a linear basic  $\ell$ -level code w.r.t.  $\oplus$ , denoted  $C_1 + 2C_2 + \dots + 2^{\ell-1}C_\ell$ , then the necessary and sufficient condition (3.6) is expressed as follows:

(1)

$$\bar{1} \in C_h, \quad \text{and} \quad (3.7)$$

(2)

$$\text{if } h < \ell, \text{ then } C_h \cdot C_{h+1} \cdot \dots \cdot C_{j-1} \subseteq C_j, \text{ for } h+1 < j \leq \ell. \quad (3.8)$$

Obviously, a linear code  $C$  over  $S_{2^\ell\text{-PSK}}$  w.r.t.  $+$  is invariant under  $180^\circ/2^{\ell-h}$  phase shift, if and only if  $\bar{1}_h \in C$ .

## 4. Code Examples

In Table 1, seven basic multilevel block codes [3] and four nonbasic block codes for 8-PSK and 16-PSK modulations are given. The number of states of a trellis diagram for each basic multilevel block code is computed based on the numbers of states of trellis diagrams for its binary component codes [14]. Among four nonbasic codes, two zero-tail Ungerboeck trellis codes for 8-PSK modulation [1] are shown. In Table 1,  $V_n$ ,  $P_n$ ,  $P_n^\perp$ ,  $RM_{ij}$ ,  $s\text{-}RM_{ij}$ , and ex-Golay denote the set of all the binary  $n$ -tuples, the set of all even weight binary  $n$ -tuples, the dual code of  $P_n$  which consists of the all-zero and all-one  $n$ -tuples, the  $j$ -th order Reed-Muller code of length  $2^i$ , a shortened  $j$ -th order Reed-Muller code of original length  $2^i$ , and the extended (24,12) code of binary Golay code.  $F_1$  and  $F_2$  denote two codes over  $\{0, 1, 2, 3\}$  which are defined as following [4]. Let  $p(x_1, x_2, \dots, x_h)$  be a boolean polynomial which is used to represent the binary  $2^h$ -tuple whose  $i$ -th bit is given by  $p(i_1, i_2, \dots, i_h)$  where  $(i_1, i_2, \dots, i_h)$  is the binary representation of the integer  $i-1$ , i.e.  $i-1 = \sum_{j=1}^h i_j 2^{j-1}$ . Let  $\bar{g}_{h,i}$  denote the

Next we consider the phase rotation invariant property of codes given in Table 1. Since codes  $C[1], C[4], C[5], C[6]$  and  $C[11]$  are linear w.r.t.  $+$  and  $\bar{1}$  is contained in  $P_n^\perp$ ,  $RM_{t,j}$ , or ex-Golay, these codes are invariant under  $180^\circ/2^{t-1}$  phase shift. It follows from the properties (i) and (ii) of Reed-Muller codes that codes  $C[8], C[9]$  with  $n \equiv 0 \pmod{4}$  and  $C[10]$  are readily shown to meet the conditions given by (3.7) and (3.8) with  $h = 1$ . Code  $C[2]$  is shown to contain  $2\bar{1}$ , and therefore is invariant under  $90^\circ$  phase shift. Code  $C[3]$  contains  $2^2\bar{1}$  only and is invariant only under  $180^\circ$  phase shift, and code  $C[7]$  does not contain even  $2^2\bar{1}$ .

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Table 1: Some Short 8-PSK, 16-PSK Codes

modulation	definition	$n$	$R[C]$	$D[C]$	The number of states of a trellis diagram	linearity w.r.t. +	phase shift invariancy
8-PSK	$C[1] \triangleq P_8^\perp + 2P_8 + 4V_8$	8	1	4	$2^2$	Yes	$45^\circ$
	$C[2] \triangleq F_1 + 4V_8$	8	1	4	$2^2$	Yes	$90^\circ$
	$C[3] \triangleq$ zero-tail Ungerboeck code	$n$	$\frac{n-1}{n}$	4	$2^2$	No	$180^\circ$
	$C[4] \triangleq RM_{4,1} + 2P_{16} + 4V_{16}$	16	$\frac{9}{8}$	4	$2^4$	Yes	$45^\circ$
	$C[5] \triangleq F_2 + 4V_{16}$	16	$9/8$	4	$2^4$	Yes	$45^\circ$
	$C[6] \triangleq$ ex-Golay + $2P_{24} + 4V_{24}$	24	$\frac{59}{48}$	4	$2^7$	Yes	$45^\circ$
	$C[7] \triangleq$ zero-tail Ungerboeck code	$n$	$\frac{2n-3}{2n}$	4.586	$2^3$	No	$360^\circ$
	$C[8] \triangleq P_{16}^\perp + 2RM_{4,2} + 4P_{16}$	16	$\frac{27}{32}$	8	$2^5$	No	$45^\circ$
	$C[9] \triangleq P_n^\perp + 2s \cdot RM_{5,3} + 4P_n$	$16 < n \leq 32$	$\frac{n-3}{n}$	8	$2^6$	No	$45^\circ$ for $n \equiv 0 \pmod{4}$
	$C[10] \triangleq RM_{5,1} + 2RM_{5,3} + 4P_{32}$	32	$\frac{63}{64}$	8	$2^9$	No	$45^\circ$
16-PSK	$C[11] \triangleq P_{32}^\perp + 2RM_{5,2} + 4P_{32} + 8V_{32}$	32	$\frac{5}{4}$	4	$2^8$	Yes	$22.5^\circ$